# Counting points with the deformation method

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- $\mathbf{F}_q$  finite field with  $q = p^a$  elements,
- $X/\mathbf{F}_q$  smooth hypersurface of degree d in  $\mathbf{P}_{\mathbf{F}_q}^n$

## Definition

$$Z(X, T) = \exp\left(\sum_{i=1}^{\infty} |X(\mathbf{F}_q^i)| \frac{T^i}{i}\right) \quad (\in \mathbf{Q}(T))$$

# Problem

Compute Z(X, T) efficiently.

One can define rigid cohomology spaces  $H^*_{rig}(X)$  over  $\mathbf{Q}_q$  with an action of the *p*-th power Frobenius  $F_p$  such that

### Theorem

$$Z(X, T) = \prod_{i=0}^{2(n-1)} \det(1 - T \operatorname{F}_{p}^{a} | H^{i}(X))^{(-1)^{i}}.$$

Since X is a smooth projective hypersurface, we only have to compute

$$\chi(T) = \mathsf{det}(1 - T \operatorname{\mathsf{F}^a_p}|H^{n-1}_{\mathsf{rig}}(X)).$$

Now we take a family of smooth projective hypersurfaces

$$\pi: X \to S,$$

defined over some open subset  $S \subset \mathbf{P}_{\mathbf{F}_q}^1$  by  $\overline{f} \in \mathbf{F}_q[t][x_0, \dots, x_n]$ homogeneous of degree d in the variables  $x_0, \dots, x_n$ .

The cohomology spaces  $H_{rig}^{n-1}(X_s)$  glue together to form an overconvergent *F*-isocrystal  $H_{rig}^{n-1}(X/S)$ .

More concretely, let  $f \in \mathbf{Z}_q[t][x_0, \ldots, x_n]$  be a lift of  $\overline{f}$  to characteristic 0 and

$$\pi: \mathcal{X} \to \mathcal{S}$$

the corresponding family of hypersurfaces.

Basically,  $H_{rig}^{n-1}(X/S)$  is just the algebraic de Rham cohomology

$$H^{n-1}_{\mathsf{dR}}(\mathcal{X}/\mathcal{S}\otimes \mathbf{Q}_q),$$

which carries a natural Gauss-Manin connection  $\nabla$ . Let  $[e_1, \ldots e_b]$  be a basis of sections of  $H^{n-1}_{dR}(\mathcal{X}/\mathcal{S} \otimes \mathbf{Q}_q)$  and M(t) the matrix of  $\nabla$  with respect to this basis:

$$\nabla(e_j) = \sum_{i=1}^b M_{ij} e_i \otimes dt.$$

Note that  $M \in M_{b \times b}(\mathbf{Q}_q(t))$  can be computed using linear algebra with the Griffiths-Dwork method. Let  $r(t) \in \mathbf{Z}_q[t]$  be such that  $r(t)M \in M_{b \times b}(\mathbf{Z}_q[t])$ .

### We denote

$$\mathbf{Q}_{\boldsymbol{q}}\langle t, \frac{1}{r(t)}\rangle^{\dagger} = \{\sum_{i,j=0}^{\infty} a_{i,j} \frac{t^{i}}{r(t)^{j}} | \exists \rho > 1 \colon \lim_{i+j \to \infty} |a_{i,j}|\rho^{i+j} = 0\}$$

and let  $\sigma$  be the standard *p*-th power Frobenius lift on this ring.

#### Theorem

There exists a matrix  $\Phi \in M_{b \times b}(\mathbf{Q}_q \langle t, \frac{1}{r(t)} \rangle^{\dagger})$  such that if  $\hat{\tau} \in S(\mathbf{Z}_q)$  denotes a Teichmueller lift of  $\tau \in S(\mathbf{F}_q)$ , then  $\Phi(\hat{\tau})$  is the matrix of  $\mathbf{F}_p$  on  $H^{n-1}(X_{\tau})$ .

# The differential equation

#### Theorem

$$\frac{d\Phi}{dt} + M\Phi = \rho t^{\rho-1}\Phi\sigma(M).$$

Suppose that  $r(0) \neq 0 \pmod{p}$  and let  $C \in M_{b \times b}(\mathbf{Q}_q[[t]])$  be a fundamental matrix of solutions of  $\nabla$  at 0:

$$\frac{dC}{dt} + MC = 0, \qquad \qquad C(0) = I.$$

Then

$$\Phi = C(t)\Phi(0)\sigma(C^{-1}).$$

When evaluating  $\Phi$  at  $\hat{\tau}$ , first convert to an element of  $\mathbf{Q}_q \langle t, \frac{1}{r(t)} \rangle^{\dagger}$ , since the power series only converges on the open unit disk.

Lauder(2004) proposes the following algorithm:

Choose a family X/S for which X<sub>0</sub> is diagonal and X<sub>τ</sub> more complicated.

- Compute  $\Phi(0)$  using an explicit formula of Dwork.
- Solve for C(t) and compute  $\Phi = C \Phi \sigma(C^{-1})$ .
- Evaluate  $\Phi(\hat{\tau})$  and deduce  $Z(X_{\tau}, T)$ .

- Time complexity (pad<sup>n</sup>)<sup>O(1)</sup>, which is polynomial in the input size for fixed p. AKR and Lauder-Wan have a factor d<sup>O(n<sup>2</sup>)</sup>, so are only polynomial in the input size if n is fixed as well.
- Hubrechts: something similar can be used to lower the space complexity in Kedlaya's algorithm from  $a^3$  to  $a^2$  for (hyper) elliptic curves contained in a family over a small field (for fixed *p* and *g*).
- Especially good for big fibres in small families (where big and small refer to the field of definition) and for counting points on a lot of of fibres in the same family.

# Our work

• Time complexity:

$$\tilde{\mathcal{O}}\Big(pa^{3}d^{n(\omega+4)}e^{2n} + a^{2}\big(d^{n(\omega+2)}e^{n(\omega+1)} + d^{5n}e^{3n}\big)\Big),$$

where  $\omega$  denotes the least exponent for matrix multiplication, so  $2 \le \omega \le 2.3727$ . This improves Lauder's complexity bound by a factor  $pd^n$ .

- We combine all known tricks (Newton Girard identities, Hodge structures, effective convergence bounds for Frobenius structures on connections, effective Christol Dwork bounds) to get the precision bounds to be as low as possible.
- Highly optimised implementation by S.Pancratz in FLINT (starting from a family over Q).

Nothing in SAGE yet, what would be needed?

- LUP decompositions for large sparse matrices over (for example) Q(t), to compute the matrix M of ∇.
- For the case when  $\tau$  is not contained in the prime field, a better implementation of  $\mathbf{Q}_q$  is needed. At the moment, things like Teichmueller lifts and  $\sigma$  are about 10<sup>4</sup> times slower in SAGE than in MAGMA.

Both of these problems should be resolved when FLINT 2.4 goes into SAGE.

#### paper:

'Improvements to the deformation method for counting points on smooth projective hypersurfaces'

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http://arxiv.org/abs/1307.1250

### code:

https://github.com/SPancratz/deformation